Improving key rates of the unbalanced phase-encoded BB84 protocol using the flag-state squashing model

Nicky Kai Hong Li and Norbert Lütkenhaus

Institute for Quantum Computing & Department of Physics and Astronomy, University of Waterloo, Waterloo, Ontario, Canada (arXiv:2007.08662 [quant-ph]) Email: kai.hong.li@uwaterloo.ca



Introduction

- Phase-encoded BB84 experiments have unbalanced signal amplitudes due to loss in phase modulators.
- Ref. [1, 2] turn the security proof into a standard BB84 proof using decoy states, signal tagging, and the qubit squashing model [3].
- The qubit approach pessimistically assumes that Eve has full access to the information carried by multiphoton signals.
 - \rightarrow <u>underestimate</u> the secure key rate of this protocol.
- Here, our different proof technique achieves higher key rates.

Protocol Description

Results

Parameters: Alice's tagged photon number cutoff $N_A = 3$, Bob's flag-state photon number cutoff N_B = 4, $p_d = 8.5 \times 10^{-7}$, $f_{EC} = 1.22$





Fig. 1: Setup for the phase-encoded BB84 protocol with unbalanced signal intensities.

• Alice's output: $\sigma_x(\alpha) = \int_0^{2\pi} \frac{d\theta}{2\pi} |\psi_x^{\theta}(\alpha)\rangle \langle \psi_x^{\theta}(\alpha)|, \ |\psi_x^{\theta}(\alpha)\rangle = |\alpha e^{i\theta}, \sqrt{\kappa} \ \alpha e^{i(\theta - \phi_x)}\rangle$ • Phases: $\phi_x \in \{0, \frac{\pi}{2}, \pi, \frac{3\pi}{2}\}, \phi_B \in \{0, \frac{\pi}{2}\}$ (equally probable)

Methods

Differences between our approach and Refs. [1, 2]'s:

- We apply the <u>numerical analysis</u> formulated in [4] to obtain reliable lower bounds on the key rates.
- Source side: tag the photon number of the signals and extend our analysis to a higher tagged threshold photon number.
- <u>Receiver</u> side: use flag-state squashing model [5] (see Yanbao Zhang's talk)

Fig. 2: Our optimal lower bounds for secure key rates per clock cycle for both trusted and untrusted dark counts versus total transmissivity η .

Compare key rates with previous results



Fig. 3: Percentage change in key rates comparing our optimal lower bounds for key rates with [2]'s optimal key rates versus total transmissivity n. We label the changes for trusted (untrusted) dark counts with solid (dotted) lines. A positive change means that our key rate is higher.

Our key rates are higher than [2]'s mainly in low-loss regime



to avoid extra qubit errors from the qubit squashing model.

- Need lower bound for $p(n \le N_B) \coloneqq \operatorname{Tr}(\rho_{n \le N_B}) \Rightarrow$ preserve <u>entanglement</u>
- → preserve some parts of the <u>multi-photon</u> generated private information

Summary of technical details:

- Lower bound $p(n \le N_B)$ with Markov's inequality + cross-click probability
- Infinite decoy + Eve's QND photon counting + signal tagging
- <u>Decomposition</u> of privacy amplification (PA) term in key rate formula \rightarrow $R_{\infty} \ge p_{\text{pass}}^{\widetilde{n}=0} + \sum_{\widetilde{n}=1}^{\widetilde{n}} p_{\widetilde{n}} \min_{\substack{\rho_{AB}^{\widetilde{n}} \in \mathbf{S}_{\widetilde{n}}}} D(\mathcal{G}(\rho_{AB}^{\widetilde{n}}) || \mathcal{Z}(\mathcal{G}(\rho_{AB}^{\widetilde{n}}))) - p_{\text{pass}} \delta_{\text{EC}}$
- Each PA term independent of signal intensity $\alpha \rightarrow$ easy to optimise over

Simulation

Loss-only channel + detection inefficiency \rightarrow transmissivity η • Two alternative **loss** scenarios:

Encounter unphysical constraints for untrusted noise at $\eta < 0.2$



Fig. 4: Assuming trusted dark counts, our lower bounds for key rates plotted against the proportion (in percentage) of the trusted loss coming from the detection inefficiency of Bob's detectors to a fixed total loss corresponding to total transmissivity $\eta = 0.1$.

Conclusion

New security proof:

[5]

Higher key rates than [2]'s in low-loss regime

- \succ <u>Trusted</u> loss: detector efficiency = η_{det}
- \succ <u>Untrusted</u> loss: detector efficiency = 1 (i.e. all loss due to Eve)
- Two alternative **noise** scenarios:
 - \succ <u>Trusted</u> noise: each detector has the same dark count rate p_d
 - Untrusted noise: assume Bob's detectors "dark count free"
 - (i.e. Eve causes the dark counts)

→ may lead to <u>unphysical</u> constraints

 $(: no replacement model for noise) \longrightarrow$





Financial support for this work has been partially provided by Huawei Technologies Canada Co., Ltd.

