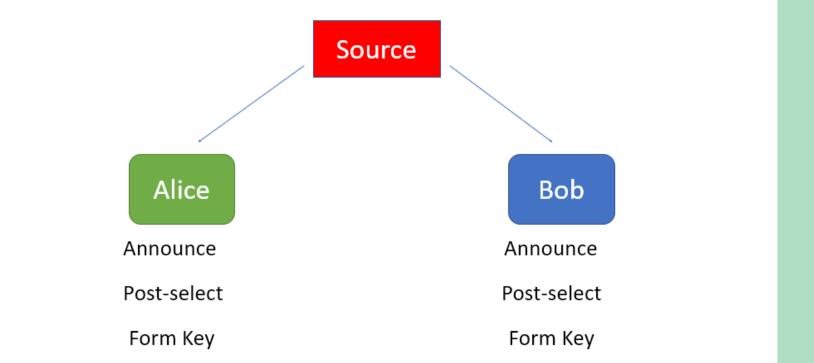
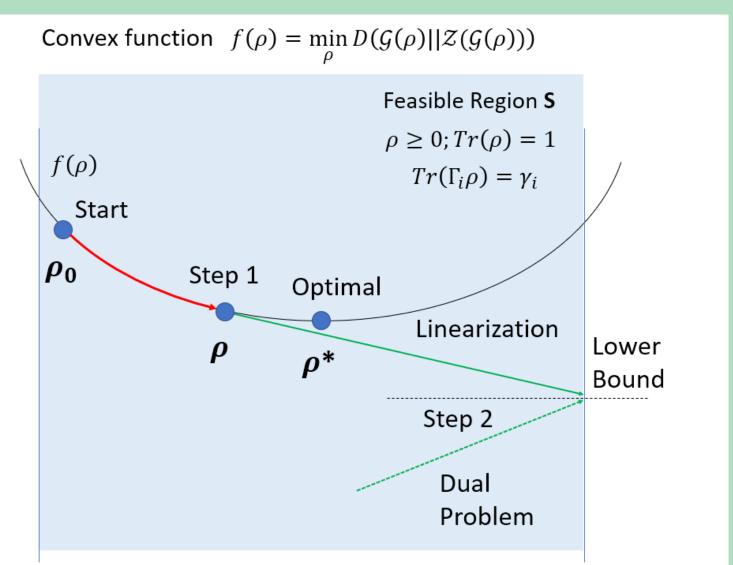
Towards an Open-source Software Platform for Numerical Key Rate Calculation of **General Quantum Key Distribution Protocols**

Background Architecture Here we first briefly introduce the **numerical approach** of Search Parameters 2 Backend 1 Input calculating key rate based on our group's previous works [1,2]. **Solver Options** Asymptotic Model Finite-size Model **Optimization Algorithm** Source User Input Flag-State Model ____**y**_____ Bob **Protocol Description Single Protocol Instance Description Files Key Rate Calculation** Solver Step 1 Main Iteration Announce Announce Post-select Post-select Lower Bound on Key Rate Form Key Form Key Solver Step 2 (3) Iterate & Output Channel Model A general QKD protocol can be described in a "prototypical" Simulation **Error-Correction Leakage** Commercial SDP Solver (e.g. <u>Mosek</u>) form as above. It is described by: Open-Source SDP Solver (e.g. SDPT3) a set of **POVMs** (measurements), **Output/Visualization** Experimental Data Kraus operators (announcements and sifting), and Custom SDP Solver (Long-Step Path Following) **Keymap** (forming key). **Constraints** from observations: the *expectation values* of POVMs. We follow a modularized approach, and build the software with three main types of modules, each Once the description is given, the key rate (privacy independent from the rest and is easily swappable between different modules. amplification part) takes the form of $\min f(\rho)$, where one 1. User supplies input data: a description of the QKD protocol, the channel model, parameters and solver settings needs to minimize f depending on p (Eve's optimal attack). - Description file easily caters for various QKD protocols and side-channels Convex function $f(\rho) = \min_{\rho} D(\mathcal{G}(\rho) || \mathcal{Z}(\mathcal{G}(\rho)))$ - Channel model can be from theoretical simulation, can also be from real experimental data Feasible Region **S** $\rho \ge 0; Tr(\rho) = 1$ $f(\rho)$ $Tr(\Gamma_i \rho) = \gamma_i$ 2. The **backend solver** follows the two-step numerical approach to calculate key rate for a given instance of protocol Start - The model can be easily swapped between e.g. asymptotic infinite-data case or finite-size case





As f is a convex, we can calculate a lower bound on $\min f(\rho)$ by a **two-step procedure**:

(1) finding a **near-optimal** ρ that gives us an **upper bound**. (2) switch to a **dual problem** with a linearization of *f* to calculate a **lower bound**.

The gap between upper/lower bounds reflects closeness of the solution to optimality.

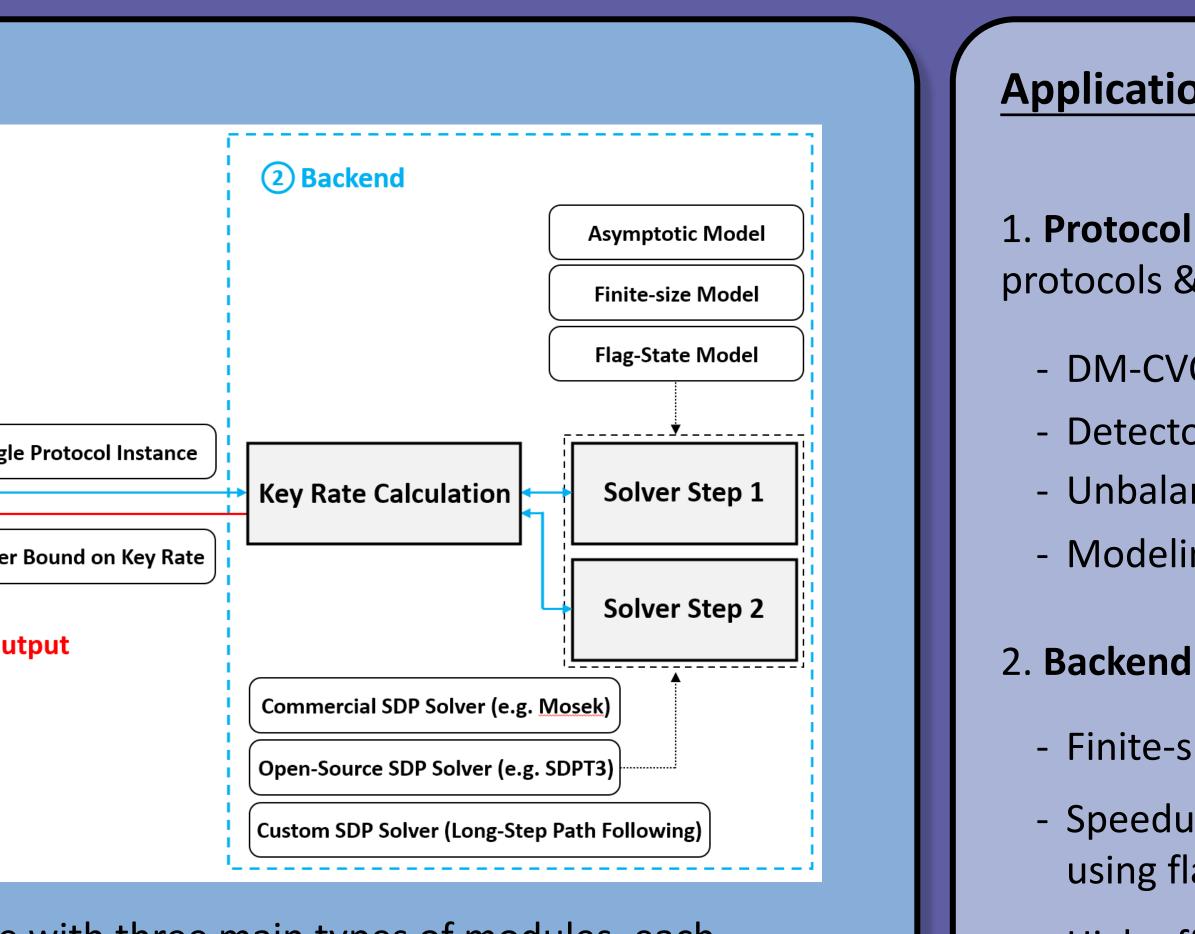
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A numerical approach for the calculation of QKD key rates allows a uniform framework to be applied to general QKD protocols. Based on our group's previous work, we would like to build a universal software platform that is fully modularized and user-friendly, where one can easily swap in and out different QKD protocol descriptions, channel simulation models or experimental data, backend numerical solvers, and parameter optimization algorithms. Our goal is to build an open-source platform that can be both useful for theorists testing new protocols as well as experimentalists looking for optimal parameters or analyzing their experimental data.

- Flag-state model (utilizing protocol structure symmetry) can be used to reduce computation dimensions
- The backend SDP solver can be swapped between different software libraries (e.g. commercial/opensource solvers), as well as custom solver algorithms (such as long-step path following for step 1)
- 3. The **main iteration** can perform optimization of parameters
 - can be used to find highest key rate for a simulation, or optimal parameters for an experiment
 - can use different parameter optimization algorithms (brute-force, local search, global search, etc.)

Our goal is to build a **numerical software framework** that is easy to use and modularized. It will be able to cater for various protocols and types of side-channels. We hope it can be a testing tool for theorists and data analysis tool for experimentalists. Eventually, our goal is to build an open-source platform for the QKD community to access and build upon.



Applications and Ongoing Works

2. Backend Solver

3. Main iteration

References

Quantum 2 (2018): 77. Review X 9.4 (2019): 041064.

[6] Ian George, Jie Lin, Norbert Lütkenhaus, arXiv: 2004.11865, accepted as QCrypt 2020 talk.





1. **Protocol description** - applying to various protocols & side-channels

- DM-CVQKD (Jie, Twesh, Max) [3] - Detector efficiency mismatch (Yanbao, Jie) [4] - Unbalanced BB84 encoding (Nicky) [5] - Modeling of optical channel (Shahab)

- Finite-size model (Ian) [6]

- Speedup from structure of protocols e.g. using flag-states (Yanbao, Nicky) [4,5]

- High efficiency custom solver (Kun, Cunlu)

- Local/global search (Wenyuan, Natansh)

- Architecture & Unifying of interfaces (Wenyuan)

[1] Patrick J. Coles, Eric M. Metodiev, and Norbert Lütkenhaus. Nature Communications 7.1 (2016): 1-9.

[2] Adam Winick, Norbert Lütkenhaus, and Patrick J. Coles.

[3] Jie Lin, Twesh Upadhyaya, and Norbert Lütkenhaus. Physical

[4] Yanbao Zhang, Patrick J. Coles, Adam Winick, Jie Lin, Norbert Lutkenhaus, arXiv: 2004.04383, accepted as QCrypt 2020 talk.

[5] Nicky Kai Hong Li and Norbert Lütkenhaus. arXiv:2007.08662.